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PTO/SB/21 (08-00)

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	<b>Applicati n Numb r</b>	09/678,414	
	<b>Filing Date</b>	October 2, 2000	
	<b>First Named Inventor</b>	David W. Carlson	
	<b>Group Art Unit</b>	2823	
	<b>Examiner Name</b>	B. Kebede	
<b>Total Number of Pages in This Submission</b>	11	<b>Attorney Docket Number</b>	100-13600 (P04797)

**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Response to Paper No. 7 <input checked="" type="checkbox"/> After Final (Response) <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Inquiry <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <b>Return Receipt Postcard Certificate of Mailing</b>
<b>Remarks</b>		<b>Please charge any necessary fees or credit overpayment to Deposit Account No. 502305. A duplicate copy of this transmittal is attached for this purpose.</b>

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TECHNICAL CENTER 2800**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

<b>Firm or Individual name</b>	Mark C. Pickering, Reg. No. 36,239
<b>Signature</b>	<i>Mark C. Pickering</i>
<b>Date</b>	August 5, 2002

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box AF, Commissioner for Patents, Washington, D.C. 20231 on this date:			
		August 5, 2002	
<b>Typed or printed name</b>	Robin L. King		
<b>Signature</b>	<i>Robin L. King</i>	<b>Date</b>	August 5, 2002

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09/678,414

Response to Office Action Mailed June 5, 2002

COPY OF PAPERS  
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

David W. Carlson ✓

Appln. No.: 09/678,414 ✓

Filed: October 2, 2000

For: METHOD FOR PLANARIZING A THIN  
FILM

Group Art Unit: 2823

Examiner: B. Kebede

RESPONSE TO OFFICE ACTION MAILED  
JUNE 5, 2002

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#10/B  
Amend  
N/E  
JmCimella  
8/22/02

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the Official Action mailed June 5, 2002, please amend the above-  
identified application as follows:

In the Claims

Claim 18 has been cancelled.

The claims have been amended to read as follows:

16. (Amended) The method of claim 1 wherein the layer of first material makes  
an electrical contact with a device on the wafer.

19. (Amended) The method of claim 22 wherein the first lower level lies above  
the wafer upper level.

20. (Amended) The method of claim 19  
wherein the planarized layer of first material has a thickness over the wafer upper  
layer, and

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